



03-18-08

CofA

PATENT
040158

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gerald Craig Altomare) **Examiner:** Patel
Patent No.: 7,249,075)
Serial No.: 09/397,704) **Art Unit:** 3693
Issue Date: July 24, 2007) **Atty. Docket No.** 040158

Title : SYSTEM AND METHOD FOR ADMINISTERING PRINCIPAL PROTECTED
EQUITY LINKED FINANCIAL INSTRUMENTS

REQUEST FOR CERTIFICATE OF CORRECTION
UNDER 37 C.F.R. §1.322

Attention: Certificate of Corrections Branch
Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

Certificate
MAR 21 2008
of Correction

Dear Sir:

The errors noted on the attached form PTO/SB/44 (furnished in duplicate) appear in the printed copy of the subject patent.

That the errors noted on the attachment hereto occurred as a result of the mistake of the Patent and Trademark Office will be clearly disclosed by the records of the Office. It is, therefore, requested that a Certificate of Correction, containing the corrections noted be issued under Rule 322.

Express Mail" mailing label number EB616633165US

Date of Deposit March 17, 2008

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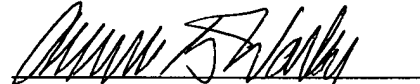
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

[Signature]

The PTO is hereby authorized to charge our Deposit Account 11-1110 for any fee deficiencies associated herewith.

Respectfully submitted,

Date: 3/17/08



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Reg. No. 56,264

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UNITED STATES PATENT AND TRADEMARK OFFICE
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Page 1 of 1

PATENT NO: 7,249,075 B2
APPLICATION NO.: 09/397,704
ISSUE DATE: July 24, 2007
INVENTOR(S): Altomare

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 24, line 54, delete "computing" and replace therewith —compute—.

Column 24, line 57, delete "determining" and replace therewith —determine—.

Column 24, line 59, delete "comparing" and replace therewith —compare—.

Column 24, line 61, delete "determine." and replace therewith —determine—.

Column 25, line 1, delete "(e) adjusting" and replace therewith —adjust—.

Column 26, line 31, delete "computing" and replace therewith —compute—.

Column 26, line 34, delete "comparing" and replace therewith —compare—.

Column 26, line 37, delete "determining" and replace therewith —determine—.

Column 26, line 44, delete "(e) adjusting" and replace therewith —adjust—.

Column 27, line 7, delete "security information to enable" and replace therewith —security information, to enable—.

MAILING ADDRESS OF SENDER:

Christopher G. Wolfe, Esq.
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This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 10 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, US Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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